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PATENT
Attorney Docket No. 23701-7002

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

on November 16, 2001

COPY OF PAPERS
ORIGINALLY FILED

McCutchen, Doyle, Brown & Enersen, LLP

By Deborah Cameron

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toy, et al.

Examiner: not assigned

Art Unit: 2131

Application No.: 09/895,842

Filed: June 29, 2001

TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS

For: METHOD AND APPARATUS FOR
ENCRYPTED ELECTRONIC FILE
ACCESS CONTROL

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date Granted dated August 17, 2001, enclosed are the following to be made of record in the above-identified application:

☒ **A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 is proper and desired.**

- 1) Executed Declaration
- 2) Copy of Notice of Missing Parts

Please charge Deposit Account No. 50-1193 for the following fees:

Small entity: (a) Filing Fee (§ 1.16(a) (small Entity) \$ 370.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

21 - 20 = 1 x \$ 9.00 = \$ 9.00

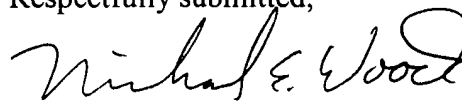
6 - 3 = 3 x \$42.00= \$126.00

(c) Missing Parts Surcharge \$ 65.00

TOTAL FEES TO BE CHARGED \$ 570.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. **50-1193**. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Michael E. Woods
Reg. No. 33,466

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,842	06/29/2001	Edward J. Toy	23701-7002

Michael E. Woods
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San Francisco, CA 94111



CONFIRMATION NO. 4986

FORMALITIES LETTER



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COPY OF PAPERS
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Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$258.
 - \$18 for 1 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1098.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

01/22/2002 VTRUCNG1 00000129 501193 09805002 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	370.00 CH
02 FC:202	126.00 CH
03 FC:203	9.00 CH
04 FC:205	65.00 CH